**SIEL END USER UNDERTAKING FOR VANTABLACK SAMPLES**

# Guidance for end-users to complete EUU form and covering letter

The following End User Undertaking (EUU) Form and the Covering Letter (as per Annex A) must be provided when you order goods which are to be used by you (whether via a Consignee or not). Both documents must be completed on your company’s letter headed paper. Please read the following ‘Explanation of Terminology’ carefully for guidance on completing the EUU form. The paragraph numbers refer to reference numbers in the EUU form.

1 The UK Licensee is the person or body, in this case, Surrey NanoSystems Ltd (SNS) who is exporting the goods abroad, which may be direct to you or via a separate consignee.

2 The Licensee’s Reference can be left blank for completion by SNS.

3 The Consignee is the person or body, who is the first recipient of the goods. This can be yourselves or it can be another person or body within your country or in another country. If you are both the Consignee and the End User, then you must enter your own details in boxes (c) and (d).

4 As the End User you will be receiving the goods for **end-use** soboxes (e) and (f) must be completed by you.

5 The End User in this section refers to the entity at the time of shipment.

6 The goods in this section refer to those at the time of shipment. NB: If goods are being incorporated into another product or higher level system then this information should be included in Section 3. (In this case SNS’ assumption is that the Vantablack® samples will not be incorporated into another product or system, please advise if this is not the case).

7 The UK Authorities need to understand what the goods are and so a detailed description of the main item or items is required. SNS has completed this section, however please delete as appropriate and edit the quantity of each sample type if necessary.

8 If the goods are to be ‘incorporated’ by you (ie: installed into another product or higher level system) then that product/higher level system, its use and ultimate end user (which may be within or outside your destination) should be described where known in Section 3.

9 Section 4 must be signed and dated by you as the End User since you are using the goods mentioned in Section 2. This includes where you incorporate the goods into another product or higher level system. This section should be signed and dated by a person within your organisation with authority to sign on behalf of the company/organisation. ‘Digital’ signatures are **not** acceptable.

10 For an up-to-date list of applicable destinations subject to arms embargoes, please go to the [export control pages of the Businesslink website](http://www.businesslink.gov.uk/exportcontrol) and access the link to ‘Current Export Restrictions’.

**CHECKLIST – PLEASE REVIEW BEFORE RETURNING FORM TO SNS**

* Please delete any text highlighted blue, these statements are for guidance only.
* Please amend, complete or sign where highlighted yellow.
* Please ensure that both the EUU form and the Covering Letter are printed on your company / organisation headed paper.
* Please ensure that the same person signs both the EUU form and the Covering Letter.
* Please ensure that the address on the Covering Letter matches the address of the End User on the EUU form.
* A scanned / e-mail copy of these documents can be submitted to SNS in the first instance, however please note that to comply with government regulations we must obtain the original copies of both the EUU form and the Covering Letter. **We will not despatch goods until these original copies have been received.**

*EUU FORM - PLEASE COMPLETE ON COMPANY HEADED PAPER*

**SECTION 1 – PARTIES**

|  |  |
| --- | --- |
| **(a) Name of UK Licensee 1**  Surrey NanoSystems Ltd | **(b) Licensee’s Reference 2** |
| **(c) Name of Consignee 3**  Enter name of company / organisation | **(d) Consignee’s Address**  Enter full address |
| **(e) Name of End-User 4**  Enter name of company / organisation | **(f) End-User’s Address**  Enter full address (must match address on Covering Letter) |
| **(g) Is the End user the armed forces or internal security forces of the**  **country ?** 5  Please DELETE an option:  ~~Yes~~ / No | **(h) Specific location where goods will be used or based (if known) and if different from (f) 6**  Enter address if required |

**SECTION 2 – GOODS**

|  |  |
| --- | --- |
| **(a) Quantity of Goods**  1 (one)  1 (one)  1 (one)  1 (one) | **(b) Description of the Goods 7**  (for consumable goods, include length of time supplies are expected to last)  Please delete any items below that are not to be ordered:  Vantablack sample on Aluminium 40 x 40 x 3 mm (Part No. 90-16-00119)  Vantablack sample on Aluminium foil 100 x 100 mm  (Part No. 90-17-00003)  Vantablack sample on copper 75 x 25 mm  (Part No. 90-17-00037)  Vantablack sample on creased (wrinkled) aluminium foil maximum size 100mm x 100 mm |
|  | |

**SECTION 3 – END-USE OF THE GOODS**

|  |
| --- |
| **Please set out the specific purposes for which the goods are to be used by the end-user** (including, if applicable, where the goods are being incorporated). **8**  The goods are being provided for evaluation of the Vantablack coating only and will not be incorporated into any other product or system. |

**SECTION 4 – END-USER UNDERTAKING 9 (to be completed by the person or body named in 1(e) and 1(f))**

We – the person or body named at 1(e) and 1(f) – certify that we are the end-user of the goods described in Section 2, which are to be supplied by the licensee named in 1(a). We further certify that we shall use the goods for the purposes described in Section 3; that the goods will not be used for any purpose connected with chemical, biological or nuclear weapons, or missiles capable of delivering such weapons; that they will not be re-exported or otherwise re-sold or transferred if it is known or suspected that they are intended or likely to be used for such purposes; that the goods will not be re-exported or otherwise re-sold or transferred to a destination subject to UN, EU or OSCE embargo where that act would be in breach of the terms of that embargo 10; and that the goods, or any replica of them, will not be used in any nuclear explosive activity i or unsafeguarded nuclear fuel cycle ii.

**Sign Here** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Date**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

*(Signature of official of End User)*

**Print** **Name** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

*(Add Name of Signatory in Capitals)*

**Role** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

*(Add Job Title of Signatory in Capitals)*

Note

i Includes research on or development, design, manufacture, construction, testing or maintenance of any nuclear explosive device or components of subsystems of such a device.

ii Includes research on or development, design, manufacture, construction, operation or maintenance of any reactor, critical facility, conversion plant, fabrication plant, reprocessing plant, plant for the separation of isotopes of source or special fissionable material, or separate storage installation, where there is no obligation to accept IAEA safeguards at the relevant facility or installation, existing or future, when it contains any source or special fissionable material; or of any heavy water production plant where there is no obligation to accept IAEA safeguards on any nuclear material produced by or used in connection with any heavy water produced therefrom; or where any such obligation is not met.

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# *ANNEX A TO EUU FORM*

***Model covering letter for End User Undertaking*** *[to be amended where indicated]*

*PLEASE COMPLETE ON COMPANY HEADED PAPER*

[Name & Address of End User]

[Date]

To Whom It May Concern:

Regarding the End User Undertaking relating to the UK licence application made by Surrey NanoSystems Ltd

I attach a completed End User Undertaking made on the EUU Form

Yours sincerely

[**TO BE** **SIGNED *BY THE SAME SIGNATORY WHO COMPLETED AND***

***SIGNED THE END USER UNDERTAKING (EUU) FORM TOGETHER WITH THEIR ROLE***]